A derivational view on verbal suffixes in Chinese

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Introduction

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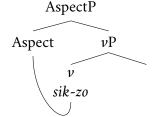
- Manner adverbs
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- Verbal suffixes in Chinese represent a rich class of functional morphemes in the language.
- (1) a. sik-zo 'eat-pfv'
 - b. fan-gan 'sleep-prog'
 - Morphologically, they are bound morphemes always attached to a verb.
 - Syntactically, it is less clear how they enter into syntax.
 - A lexicalist view (Cheng 1989; Gu 1993; Huang, Li, and Li 2009)
 - A derivational (non-lexicalist) view (Tang 1998, 2003; Tsai 2001, 2008)

- How the two views differ, and why we should care:
- (2) a. The **lexicalist** view suggests that the string V-suffix forms a lexical item in the morphological component.
 - b. Lexicon: { ... *sik*, *sik-zo*, *sik-gan*, ... }
 - c. → a larger size of lexicon, a smaller syntactic workload
- (3) a. The **derivational** view suggests the verb and the suffix head distinct projections and verbs combine with suffixes in syntax.
 - b. Lexicon: { ... sik, -zo, -gan, ... }
 - c. → a smaller size of lexicon, a greater syntactic workload

- This talk hopes to contribute to the discussion by offering new evidence in support of the **derivational** view.
 - Revisit the primary evidence for the lexicalist view
 - 2 New evidence # 1 verb doubling
 - 3 New evidence # 2 An extraction-suffix correlation

- An assumption shared in both views: suffixed verbs are associated with an Aspect Projection above *v*P
- But they differ in the type of dependencies.
- (4) The lexicalist view
 (Agree or covert movement)



(5) <u>The derivational view</u> (overt *v*-Aspect movement)

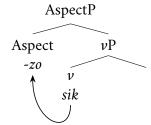


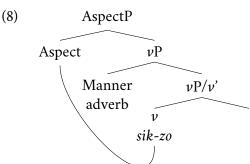
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- Verb movement in French and many other languages alters adverb-verb order (Pollock 1989).
- (6) French (lexical) verb movement
 - Je mange souvent mange des pommes.
 I eat often of.the apples
 'I often eat apples.'
 - b. *Je souvent **mange** des pommes.
 - Overt *v*-Aspect movement, if exists in Chinese, is expected to induce similar word order effects.

- However, this is not borne out.
- (7) Mandarin (Gu 1993, p.72)
 - a. Zhangsan hen kuaide **xie-le** yi-feng xin. Zhangsan very quickly write-pfv one-CL letter 'Zhangsan quickly wrote a letter.'
 - b. *Zhangsan **xie-le** hen kuaide yi-feng xin. Zhangsan write-PFV very quickly one-CL letter 'Zhangsan wrote quickly a letter.'
 - The lexicalist list view takes the illicit verb-adverb order (in (b)) to be evidence against verb movement.

• This argument rests on the assumption that manner adverbs are invariably adjoined below AspectP to vP (or v').



• The suffix must not be "picked up" in the overt syntax.

- I argue that this assumption is not warrented, by showing that aspect suffixes do not always scope over manner adverbs.
- Consider -faan 'again':
- (9) Aaming zingzinggai hok-faan jatman. Aaming secretly learn-again Japanese 'Aaming learns Japanese again secretly.'
 - **1 again** > **secretly**: the second time to learn Japanese secretly
 - secretly > again: the second time to learn Japanese, and this time, secretly
 - Only the second reading is available: a manner adverb can outscope Aspect.

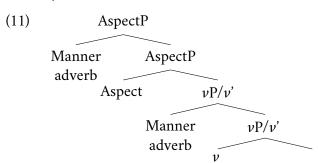
- One more example: -maai 'also'
- (10)Aaming **jat-daam** sik-maai ni-faai kukkei. Aaming one.bite eat-also this-cl cookie 'Aaming ate this cookie in one bite.'
 - **1** also > in one bite: (something **2** in one bite > also: adding this in one bite, and) this cookie in cookie to other stuff in one bite.





Both readings are available.

- These observations suggest that manner adverbs, at least in some cases, must find a way to outscope verbal suffixes.
- A natural suggestion is that manner adverbs can have multiple adjunction sites.



- This essentially neutralizes the argument from the absence of word order effects.
- The lack of verb-adverb order in Chinese can be attributable to the high position of manner adverbs.
 - This opens up an important as to how the position of manner adverbs is regulated.
 - One possibility is that manner adverbs are generated low, obligatory move high, but may be reconstructed.
 - I leave this to my future research.

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• Chinese allows for a number of verb doubling constructions involving a duplicated verb in the periphery (Cheng and Vicente 2013; Lee 2024).

(12) a. Verb topicalization

Maai keoi hai <u>maai-zo</u> go-bun syu. buy s/he cop buy-pfv that-cl book 'As for buying, s/he has bought that book (but...).'

b. Verb focus

Lin **tai** keoi dou mou <u>tai-gwo</u> ni-bun syu. even read s/he also not read-EXP this-CL book 'S/he didn't even READ this book.'

- It has been argued that the two verbs are syntactically dependent (via head movement) (Cheng and Vicente 2013; Lee 2024).
 - Lexical identity effects
 - 2 Island effects
 - Socus Intervention effects
- Under the lexicalist view, the bare verbs in the left periphery require additional assumptions to allow verb doubling to target sub-heads/the internal morphological structure of the verbs.

- A more serious challenge is that V-suffix cannot be doubled at all.
- (13)a. ?? Maai-zo keoi hai maai-zo go-bun syu. buy-pfv s/he cop buy-pfv that-cl book 'As for buying, s/he has bought that book (but...).'
 - b. *Lin tai-gwo keoi dou mou tai-gwo ni-bun syu. even read-EXP s/he also not read-EXP this-CL book 'S/he didn't even READ this book.'
 - Under the lexicalist view, the V-suffix forms one lexical item, and it is expected that V-suffix should be doubled in verb doubling constructions.
 - This falls out from a **derivational** view if the operation target V/vheads, rather the Aspect heads.

• It should be admitted that there are cases where the V-suffix must be moved/doubled as a whole.

(14) Right dislocation (of verbs)

Aaming **ngo-dou** wan laa3 **ngo-*(dou)**.

Aaming hungry-res dizzy sfp hungry-res

'Aaming is so hungry that he feels dizzy.' (Cheung 2015, p.229)

- But this does not challenge the derivational view, since the relevant operation may target Aspect heads rather than ν heads for independent reasons.
- → A non-lexicalist view would allow more flexibility in handling various verb doubling cases.

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- I will make an argument in favor of the derivational view based on a suffix effect on extraction.
- In Chinese, a set of preposition-like elements, *coverbs*, combine with NPs to introduce VP modification (Matthews and Yip 2011).
- (15) a. Ngo [**bong** go-go jan] zou-gwo je. I help that-cL person do-EXP thing 'I have worked for that person.'
 - b. Ngo [tung go-go jan] heoi-gwo leoihang.

 I with that-CL person go-EXP trip

 'I have gone on a trip with that person.'

- The NP complement of the coverb generally resists extraction, e.g., relativization.
- a. *[Ngo [bong Δ] zou-gwo je ge] go-go jan. (16)help do-EXP thing GE that-CL person 'The person who I have worked for'
 - b. *[Ngo [tung Δ] heoi-gwo leoihang ge] go-go go-EXP trip GE that-CL person with 'The person with whom I have gone on a trip'

- Francis and Matthews (2006) discusses two interesting properties of coverb constructions.
- First, verbal suffixes can alternatively appear on the coverb, suggesting their verbal nature.
- Ngo [**bong** -gwo go-go jan] (17)zou je. help-EXP that-CL person do thing 'I have worked for that person.'
 - Ngo [tung -gwo go-go jan] heoi leoihang. with-EXP that-CL person go trip 'I have gone on a trip with that person.'

- Second, NP extraction is less degraded in these cases.
- (18) Francis and Matthews (2006), p.769
 - ?[[ngo **bong** -gwo Δ_i zou je] go go jan_i help-ASP work that CL person 'The person I('ve) worked for'
 - b. *[[ngo **bong** Δ_i zou je] go go jan_i help work that CL person

- In some other cases, NP extraction is (almost) natural.
- (19) a. [阿明<u>同過</u>一齊去旅行嘅] 嗰個人原來係學生。
 [Aaming [**tung** -gwo △] jatcai heoi leoihang ge] go-go
 Aaming with-EXP together go trip GE that-CL
 jan jyunloi hai hoksaang.
 person indeed be student
 'The person with who Aaming went on a trip is indeed a student.'
 - b. *[阿明同一齊做去旅行嘅] 嗰個人原來係學生。
 [Aaming [tung Δ] jatcai heoi -gwo leoihang ge] go-go
 Aaming with together go-EXP trip GE that-CL
 jan jyunloi hai hoksaang.
 person indeed be student
 - As suggested by an anonymous review, there may indeed be speakers' variations.

More examples - clear contrasts

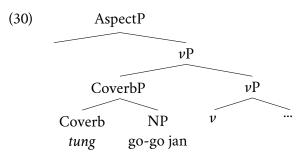
- (20) a. [阿明跟過做研究嘅]嗰個老師原來係副校長。
 - b. *[阿明跟做過研究嘅] 嗰個老師原來係副校長。
- (21) a. 「阿明陪過飲酒嘅」嗰個老闆原來係CEO。
 - b. *[阿明陪飲過酒嘅]嗰個老闆原來係CEO。
- (22) a. 「阿明頭先用過切野嘅」嗰把刀原來成千蚊。
 - b. *[阿明頭先用切過野嘅] 嗰把刀原來成千蚊。
- (23) a. [阿明頭先對住大叫嘅] 嗰個地方 係個樹窿。
 - b. *[阿明頭先對大叫嘅]嗰個地方 係個樹窿。

More examples - less clear contrasts

- ?[阿明搵過吹水嘅]嗰個男仔原來係富二代。 (24)
 - *[阿明搵吹過水嘅] 嗰個男仔原來係富二代。
- ?[阿明代過比錢嘅]嗰個人原來係學生。 (25)
 - *[阿明代比過錢嘅] 嗰個人原來係學生。
- ?[阿明靠過上位嘅]嗰個人其實係佢好朋友。 (26)
 - *[阿明靠上過位嘅] 嗰個人其實係佢好朋友。
- ?[阿明令過失望嘅]人只有佢媽媽。 (27)
 - *[阿明令失過望嘅]人只有佢媽媽。
- ?[阿明俾過早走嘅]人只有真係病嘅同學。 (28)a.
 - *[阿明俾早走過嘅] 人只有真係病嘅同學。

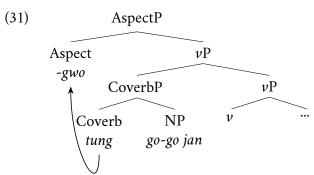
- If we focus on speakers who find a contrast of NP extraction between suffixed coverbs and bare coverbs,
- (29) The extraction-suffix correlation of coverb constructions
 The NP complement of a coverb allows extraction if it is
 combined with a suffix.
 - The lexicalist view leaves little room for explanation, since suffixed coverbs and bare coverbs are syntactically non-distinct.
 - The explanation must rely on non-syntactic factors.

- I argue that the derivational view may attribute the extraction possibility to coverb movement onto the Aspect head, which relaxes locality restriction of coverb structures.
- Briefly, let us assume, the following to be a coverb structure:



Extraction of NP is diallowed due to adjunct island effects.

• In the presence of verbal suffixes, coverb may move to Aspect



I suggest that this movement of the coverb **extends the locality domain** of the CoverbP, such that NP is no longer opaque to syntactic movement.

- This kind of extension effects has been reported in the literature, most commonly observed with head movement.
 - Phase sliding/unlocking/deactivation (Richards 1998; Rackowski and Richards 2005; den Dikken 2018; Gallego and Uriagereka 2006; Gallego 2010; Halpert 2016, 2019; Lee and Yip 2024a).
 - The spirit is that syntactic locality is not set once and for all, and may interact with other operations in the derivation.
- For time reasons, I must leave the technical details for next time.
- But the derivational view ensures greater flexibility to handle the extraction-suffix coorelation in coverb constructions.

Serial verb constructions (SVCs)

- A similar argument can be made with Serial verb constructions.
- They involve a similar to structures as Coverb constructions, except that VP1 need not modify VP2.
- Importantly, the NP in VP1 allows extraction if V1 takes a suffix.

(32) Mandarin

- a. Zhe-zhong yu wo [mai -guo Δ] [chi]. This-kind fish I buy-exp eat 'I have bought and eaten this kind of fish.'
- b. *Zhe-zhong yu wo [$\mathbf{mai}\ \Delta$] [chi -guo]. This-kind fish I buy eat-exp

Serial verb constructions (SVCs)

- More examples:
- (33) a. 那個學生我 [_{VP1} 找了 △] [參加比賽]。
 - b. *那個學生我 [$_{VP1}$ 找 $_{\Delta}$] [參加了比賽]。
- (34) a. 這本書我 [$_{VP1}$ 引用了 $_{\Delta}$] [寫論文] 。
 - b. *這本書我 [_{VP1} 引用 Δ] [寫了論文] 。
- (35) The extraction-suffix correlation of serial verb constructions
 The NP complement of V1 in a SVC allows extraction if it is
 combined with a suffix.
 - This again motivates a derivational view on verbal suffixes.

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Concluding remarks

- A derivational view on suffixes is both conceptually and empirically superior to a lexicalist view.
- Indeed, there may be further evidence for the deriviational view:
 - The order of suffixes show Mirror Principle effects (Tang 2003)
- (36) sik-gwo-saai 'eat-exp-all' LF: saai > gwo > sik
 - Discontinuous predicates (Lee and Yip 2024b)
- (37) *fei-zo-lou* 'fail-pfv-fail' Suffixes in monomorphemic verbs
 - I leave further investigation to future research.

Acknowledgments

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