# No tense: a bi-contextual evaluation approach to temporal reference

Tommy Tsz-Ming Lee, Roumyana Pancheva, and Maria Luisa Zubizarreta

University of Southern California

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- Tense and aspect are the key linguistic resources for the clause-level temporal grounding of eventuality descriptions.
- Under the neo-Reichenbachian approaches (Klein 1994, a.o.),
  - **tense** situates the RT relative to the ST (in matrix clauses),
  - aspects situates the ET relative to the RT
- But do all languages have tense?
- = do all languages have a semantic mechanism dedicated to introducing in the logical form an RT in relation to the ST (in matrix clauses)?

- Formal semantic approaches so far have maintained that tense is indeed a semantic universal.
- For a language that lacks overt tense morphemes or whose tense morphemes are optional, it is said to
  - have phonologically covert tense in its lexicon, or
  - have a rule that supplies non-lexical tense in the semantic component
- Given that the role of tense is formally distinct from that of aspect, the
  analytical possibility arises that a language may lack tense while
  having aspect.



- This talk focuses on (Hong Kong) Cantonese, which lacks overt tense morphology.
- A matrix sentence is temporally ambiguous:
- (1) ngo zyu hai Hoenggong
  - I live at Hong Kong
  - (i) 'I live in Hong Kong.'
  - (ii) 'I lived in Hong Kong.' (in reply to "Where did you live **last year**?")
  - Our goal is to propose an analysis of temporal reference in Cantonese without an appeal to tense.



- Existing approaches presuppose the presence of tense in Chinese.
- The null tense approach (Sun 2014; He 2020) there are covert tense morphemes (in addition to aspect morphemes) e.g. NON-FUT, PRES, PAST, etc.
- The tense-aspect bundling approach (Lin 2006, 2010) tense morphemes are bundled with aspect morphemes. i.e.,  $[perfective aspect] = \lambda P_{< i,t>} \lambda t_{TOP} \lambda t_0 \exists t [P(t) \land t \subseteq t_{TOP} \land t_{TOP} < t_0]$

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# A genuinely tenseless approach

- We pursue an opposite, semantically tenseless approach to temporal reference in Cantonese.
- We propose that temporal meanings can be derived via evaluation time shift, which manipulates the temporal parameter of the context of evaluation.
  - In a **present** interpretation, the time of evaluation for matrix clauses (EvalT) is the speech time (ST).
  - In a **past** interpretation, the EvalT is a back-shifted time (preceding the ST).
- The parametric difference between the temporal systems of Cantonese and English is located in
  - (i) the inventory of their functional lexicons: Cantonese lacks tense morphemes;
  - (ii) the wider application of the mechanism of EvalT shift in Cantonese



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#### An overview

	Statives Atelic eventives		Telic eventives	
Present	default	<b>✓</b> default	×	
Past	<b>/</b>	<b>✓</b>	<b>V</b>	
Future	×	×	×	

Table 1: Temporal reference of bare episodic sentences in Cantonese

	Imperfective -gan/-zyu	Perfective -zo/-gwo	
Present	<b>✓</b> default	×	
Past	<b>✓</b>	<b>V</b>	
Future	×	×	

Table 2: Temporal reference of aspectually marked episodic sentences in Cantonese

#### Statives and atelic eventives

• Sentences with **bare atelic predicates** convey a default present reading, also compatible with a past reading.

- (2) a. ngo zyu hai Hoenggong = (1)

  I live at Hong Kong
  Present: 'I live in Hong Kong.'
  Past: 'I lived in Hong Kong.'
  (in reply to "Where did you live last year?")
  - b. Aaming jatgojan/ houjingzangaam daa laamkau Aaming alone/ seriously play basketball Present: 'Aaming plays basketball on his own/ seriously.' Past: 'Aaming played basketball on his own/ seriously.' (in reply to "What did Aaming do yesterday?")



# Imperfective marking

- Sentences with **imperfective** (e.g., progressive, durative) marking exhibit a similar pattern.
- (3) a. ngo **se-gan** jat-fung seon

wirte-prog one-cl letter

Present: 'I am writing a letter.'

Past:'I was writing a letter.' (in reply to "What did you do at 7am?")

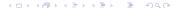
b. ngo daai-zyu hauzaau

I wear-dur mask

Present: 'I am wearing a mask.'

Past: 'I was wearing a mask.'

(in reply to "Why no one recognized you **yesterday**?")



# Telic predicates

- Sentences with a **bare telic predicate** can only have a past interpretation.
- (4) a. ngo zoeng Aaming gon-ceot fosat

  I DISPOSAL Aaming kick-out classroom.

  Past: 'I kicked Aaming out of the classroom.'
  - b. Aaming zoeng ngo **bong** hai dang dou
    Aaming DISPOSAL I tie at chair place
    Past: 'Aaming tied me to (a) chair.'
  - Note: not all telic predicates can be used without aspect marking (Klein, Li, and Hendriks 2000; Lin 2003a; Sun 2014).
  - We focus only on the acceptable cases.



# Perfective marking

- Likewise, sentences with **perfective** marking can only convey a past interpretation, even with atelic predicates.
- (5) a. ngo daa-zo laamkau I play-PERF basketball Past: 'I had played basketball.'
  - b. ngo zyu-gwo hai Hoenggong
    I live-EXP at Hong Kong
    Past: 'I had lived in Hong Kong.'



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# Interim summary

• Generalizing the pattern,

	Bare atelics & imperfectives		Bare telics & perfectives	
Present	default		X	
Past	~	,	~	
Future	×		X	

Table 3: Temporal reference of episodic sentences in Cantonese

• Different from tense approaches, we show that tense is not necessary when deriving the the present-past flexibility and the the perfective-past connection.

(We postpone the restriction on future interpretation to section 6.)



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# Assumptions

- We assume Default Viewpoint Aspect (Lin 2003a, 2003b; Bohnemeyer and Swift 2004).
  - Statives and atelic eventives describe homogeneous situations
    - → they combine with null imperfective aspect by default;
  - **Telic eventives** describe *heterogeneous* situations
    - → they combine with null perfective aspect by default.
- We assume that aspect morphemes (overt or null) are functions from properties of events to properties of times (of type <<v,t>,<i,t>>).
  - i.e., An Aspect Phrase denotes properties of time, of type <i,t>.

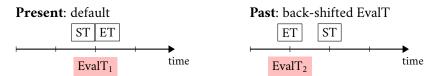


#### Evaluation time shift

The proposal, following Pancheva and Zubizarreta (2021):

- Every sentence is interpreted w.r.t. an evaluation time, EvalT.
- The EvalT is typically the ST, giving rise to a present time interpretation.
- The EvalT can be a time other than ST.
- If the EvalT is a time back-shifted from the ST, a past time reading obtains.

#### (6) Past time reading derived via a back-shifted EvalT



# An example from English narrative present

- Such a mechanism has been proposed to capture the use of narrative present in English.
- (7) From Schiffrin (1981, (2e)-(2l)):
  We just pulled<sub>ts</sub> into this lot [...] and all of a sudden the buzzer sounds<sub>tn</sub> [...] So we asked<sub>ts</sub> some guy t come over an help us. So he opens<sub>tn</sub> the car and everyone gets<sub>tn</sub> out except me and my girlfriend. We were<sub>ts</sub> in the front we just didnt feel like getting out. And all of a sudden all these sparks<sub>tn</sub> start t fly.
  - The EvalT of these sentences in the narrative is not uniform:
    - Some are evaluated w.r.t. the time of the speech context  $t_s$
    - $\bullet\,$  Some are evaluated w.r.t. the time of the narrative context  $t_n$



# Applying evaluation time shift to Cantonese

- As proposed for Paraguayan Gurani in Pancheva and Zubizarreta (2021), we suggest that a similar mechanism can be applied to Cantonese matrix sentences.
- Different from English, the application of such a backward evaluation time shift is not restricted to narratives.
- It is possible in free-standing clauses.
- Under this conception, there is no need to posit tense in Cantonese.



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# Implementation

- We implement the idea under a bi-contextual evaluation approach (Schlenker 2004; Sharvit 2008; Macfarlane 2014; Pancheva and Zubizarreta 2021, a.o.).
  - (Such bicontextualism has been deployed in domains like Free Indirect Discourse, predicates of personal tastes and epistemic modals.)
- Two evaluation times are provided by two evaluation contexts: i.e., the actual **speech context** *s*, and **the narrative context** *n* 
  - (Cf. Schlenker's (2004) Context of Utterance and Context of Thought)

# Implementation

- We assume that there is an indexical *pro* in the left periphery, presumably in the Spec CP (cf. Huang 2022).
  - It is a time variable (of type *i*), whose value is sensitive to different evaluation contexts
  - It saturates the time argument of the aspect phrase (of type <i,t>)
- (8) a.  $[pro]^{s, n} = t_s$ 
  - b.  $[pro]^{s, n} = t_n$ , where  $t_n < t_s$  (a back-shifted evaluation time)

(Strictly speaking, the EvalT is not "shifted" or overridden; but an additional, shifted EvalT is introduced.)

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# Illustration, part 1

• To derive the **present-past flexibility** in stative/atelic predicates, and imperfective sentences,

(9) ngo zyu hai Hoenggong

= (2a)

- I live at Hong Kong
- (i) 'I live in Hong Kong.'
- (ii) 'I lived in Hong Kong.' (in reply to "Where did you live **last year**?")
- (9) can be evaluated at the speech time, or at a back-shifted time (mediated by the *pro*).
- (10)  $[CP \ pro ... \ \lambda t \ \exists e \ [live-in-HK(e)(speaker) \land \tau(e) \ AT \ t \land (t \subseteq now/last.year)]]$ 
  - a.  $[(9i)]^{s, n} = 1$  iff I live in Hong Kong at  $t_s$
  - b.  $[\![(9ii)]\!]^{s, n} = 1$  iff I live in Hong Kong at  $t_n$  ( $\land t_n \subseteq$  the year before  $t_s$ )

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# Illustration, part 2

• We now turn to the perfective-past connection in telic predicates and perfective sentences.

- (11) ngo zoeng Aaming gon-ceot fosat = (4a)

  I DISPOSAL Aaming kick-out classroom
  'I kicked Aaming out of the classroom.'
  - By default, the sentence should be evaluated at the speech context.
  - However, there is a cross-linguistic *present-perfective restriction* (Comrie 1976, a.o.)
  - Cantonese is no exception.



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# Illustration, part 2

- Following Ogihara (2007), we suggest that
- (12) a. The speech event requires an overlapping state/event to hold true **throughout the speech time**.
  - b. But this is impossible for a perfective state/event.
  - This requires that the sentence in (11) be evaluated at a context different from the speech context.
- (13)  $[CP \ pro \ ... \ \lambda t \ \exists e \ [ \ kick-out(e)(Aaming)(speaker) \land \tau(e) \ AT \ t \ ] \ [(9)]^{s, \ n} = 1 \ iff \ I \ kicked \ Aaming out of the classroom at t_n ( \ \ t_n < t_s)$ 
  - There is no need to bundle tense with aspect (contra Lin 2006).



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# A restriction on matrix temporal reference

- We offer a piece of evidence that distinguishes the EvalT shift approach from other tense approaches.
- EvalT shift is subject to an independently and empirically motivated constraint (Anand and Toosarvandani 2018; Pancheva and Zubizarreta 2021).
- (14) A constraint on initial evaluation time shift (in a free-standing clause  $\sigma$ )
  Initial EvalT shift in  $\sigma$  may not precede the time of the event in  $\sigma$ :  $t_n \not< \text{EventT}$ .
  - (= There is an upper bound of the value of a back-shifted EvalT)



# A restriction on matrix temporal reference

• In effect, this constraint bans the future-in-the-past reading in sentences where evaluation time shift has come into play.

(15) \*EvalT<EventT, under the constraint in (14)



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#### Illustration

- In tense languages like English, a future-in-the-past reading is available, contributed by the past tense morpheme and the prospective marking.
- (16) John was going to sing yesterday.
  - However, free-standing clauses in Paraguayan Guarani, for example, disallow such a reading (Pancheva and Zubizarreta 2021, p.36-37).
- (17)# <u>Kuehe</u> Kalo o-purahéi-**ta**. Paraguayan Guarani yesterday Kalo 3-sing-prosp 'Kalo was going to sing yesterday.'
  - The unavailability of such a reading follows if the past time reading in (17) is derived via evaluation time shift.



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#### **Predictions**

- The availability of the future-in-the-past reading can be adopted to diagnose whether evaluation time shift is in effect.
- Predictions
  - OK future-in-the-past: there is PAST/NON-FUTURE morpheme
  - \*future-in-the-past: evaluation time shift is in effect.



#### No future-in-the-past in Cantonese

- We consider prospective markers like wui 'will' and jiu 'need'.
- They are incompatible with (clausemate) past time adverbial.
- (18)Prospective markers and past time adverbials
  - a. ?? Aaming camjat wui coenggo Aaming yesterday will sing Int.: 'Aaming was going to sing yesterday.'
  - b. ?? po syu camjat zaulai jiu lam CL tree yesterday soon need fall Int.: 'The tree was going to fall yesterday.'

(They are compatible with non-past time adverbials, such as tingjat 'tomorrow' and jigaa 'now'.)



#### ... unless the shift is non-initial

• Such a reading is possible if the prospective markers are (i) in the embedded clause or (ii) in a non-initial clause.

#### Cases where future-in-the-past reading is possible (19)

- Aaming camjat waa keoi wui coenggo Aaming yesterday say he will sing 'Aaming said yesterday that he would sing (but he didn't).'
- b. ngo camjat jindou jat-po daisyu. batgwo po syu zaulai jiu yesterday see one-cl big.tree but cl tree soon need lam fall 'I saw a big tree yesterday. It was going to fall.'

#### ... unless the shift is non-initial

• A reviewer pointed to us that the Mandarin counterpart of the following Q-A pair is acceptable.

(20) Q: What were you doing at 1:20PM?

```
A: go-go sihau ngo jiu heoi caamgaa jat-go jat-dim-bun ge that-cl time I need go join one-cl 1.30pm GE wui meeting
```

'At that time, I was going to join a meeting (to be held) at 1.30pm.'

• We suggest that question answer pairs render the EvalT shift occurring in non-initial clause, hence satisfying (14).



# A brief comparison with other approaches

- The null tense approaches (Sun 2014; He 2020)
  - The future-in-the-past reading is predicted to be possible in general (i.e., a past tense morpheme co-occurs with a prospective marker)
  - It does not capture the ban on the reading (in an initial clause).
- The tense-aspect bundling approach (Lin 2006, 2010)
  - The approach focuses on incorporating tense semantics in perfective morphemes.
  - It is silent on the lack of such a reading in an initial clause.



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# The ban on backtracking in narrative

- We provide an argument against covert tense from temporal reference in narratives.
- There is a ban on backtracking in narratives (Anand and Toosarvandani 2018):
- Compare the use of past tense and narrative present:
- (21) Backtracking is disallowed in English with narrative present
  - a. Max fell. John pushed him.

fall → push or push → fall

b. Max falls. John pushes him.

fall → push *only* 

#### **Predictions**

- Evaluation time shift is argued to be subject to the following constraint.
- (22) Constraint on evaluation time update in narratives  $\sigma_1 \sigma_2$ 
  - a. The evaluation time in  $\sigma_2$  may be the speech time.
  - b. The shifted evaluation time in  $\sigma_2$  may not precede the time of the event in  $\sigma_1$ .
  - Backtracking in narratives is thus indicative of the presence tense
    - In a narrative, backtracking is allowed if there is a tense morpheme.
    - However, it is not allowed if evaluation time shift is in effect.



# No backtracking in narrative in Cantonese

- In a narrative with two bare sentences, the only possible reading is one with temporal progression.
- (23) hai go-go sihau, Aaming ditlok lautai. Aafan tui keoi jat-haa. at that-cl time Aaming fall.down stair Aafan push him once 'At that time, Aaming falls. Aafan pushes him once.'
  - ✓ temporal progression: fall → push.
    - **x** backtracking: push → fall
  - The lack of backtracking reading is unexpected if Cantonese were to possess null tense.



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# Reviewing arguments for tense approaches

- In this section and the next, we review two arguments for tense approaches from
  - (i) complement clauses, and
  - (ii) the restriction on future interpretation.
- The relevant observations can be derived without positing tense.



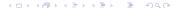
# The back-shifted reading in complement clauses

- It is observed that a complement clause can receive a back-shifted reading (Lin 2006).
- (24) a. Aafan <u>waa</u> Aaming **bik** jan duk jyujinhok
  Aafan say Aaming force person study linguistics
  'Aafan **said** that Aaming **had forced** others to study linguistics.'
  - b. Aaming waa Aafan daa-zo laamkau
    Aaming say Aafan play-perf basketball
    'Aaming said that Aafan had played basketball.'



# Tense approaches

- Tense approaches derive the back-shifted reading by positing multiple past tense morphemes.
  - In null tense approach, both the matrix clause and the embedded clause bear a null PAST morpheme.
  - In a tense-aspect bundling approaches, the perfective morphemes in both clauses bear past tense semantics.
- We argue that this does not necessarily support a tense account.
- In the sentences in (24), the simultaneous reading is unavailable i.e., the back-shifted reading is the only possible reading
  - The forcing event cannot overlap with the saying event in (24a);
  - The playing-basketball event cannot overlap with the saying event in (24b).



# An alternative view to derive the back-shifted reading

- This is parallel to the ban on present-perfective in matrix clauses.
- We extend the explanation suggested by Ogihara (2007) to attitude events:
- (25) a. The attitude event requires an overlapping state/event to hold true **throughout the attitude time**.
  - b. But this is impossible for a perfective state/event.
  - The back-shifted reading of a perfective complement clause is thus the only possible reading.
  - The reading can be derived without assuming tense.



# An alternative view to derive the back-shifted reading

- Note that if the complement clause is imperfective, a simultaneous reading obtains.
- (26) Aaming <u>waa</u> Aafan **zyu** hai Hoenggong Aaming say Aafan live at Hong Kong 'Aaming **said** that Aafan **lived** in Hong Kong.'

simultaneous



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## Future interpretation requires overt marking

• Reference to future time requires overt marking, even when there is a salient future time (Lin 2006; Sun 2014).

```
(27)??ngo tingjat cong(-gan) loeng
I tomorrow wash(-prog) bath
'I will bath/be bathing tomorrow.'
```

• Sun (2014) takes this to argue for a tense approach with NON-FUTURE, which imposes a restriction on temporal reference.

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### An explanation under evaluation time shift

 The future restriction can alternatively be explained by suggesting that forward evaluation time shift is restricted in free-standing clauses in Cantonese.

(28) **Future**: \*forward-shifted EvalT



- There is an asymmetry in the application domain of evaluation time shift in Cantonese
- While *backward* evaluation time shift applies to free-standing clauses, *forward* evaluation time shift cannot.



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#### Forward shift in narratives

- Note that forward shift is possible in Cantonese in narratives.
- (29) Tingjat, ngodei heoi gaaisi, jinhau sik faan, gaanzyu faan
  Tomorrow we go market, then eat rice, then go.back
  ukkei
  home
  'Tomorrow we go to the market, have meals and then go home.'
  (describing a plan or a scenario)
  - Positing a restriction on forward shift in Cantonese implicates that languages vary w.r.t. the application domain of evaluation time shift, instead of, e.g., lexical resources.



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### Language variation

- Narratives seem to be more tolerant to evaluation time shift.
- Free-standing clauses exhibits more variations.

Language	Backward shift	Forward shift
Cantonese	<b>✓</b>	×
Paraguayan Guarani	<b>✓</b>	×
English	×	×
Hypothetical language 1	<b>✓</b>	<b>/</b>
Hypothetical language 2	×	<b>/</b>

Table 4: Possible typology of the application domain of EvalT time shift in free-standing clauses

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#### Conclusions

- An evaluation time shift approach adequately derives temporal reference in Cantonese.
  - It handles the restriction on the future-in-the-past reading.
  - It desirably rules out backtracking in narratives.
  - Arguments taken to support a tense approach can be otherwise explained.
- Cantonese lacks not only overt tense morphology, but also semantic tense.
- It follows that tense is not a semantic universal.
- On-going investigation on ...
  - Temporal reference in relative clauses
  - Temporal reference in matrix clauses with more than one eventuality (e.g., Newton and Hawking are/were interested in physics.)
  - The "experiential" marker, -gwo



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Thank you very much! We also thank you the GLOW-in-Asia Organizing Committee. We welcome questions and comments from you!



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